

Polytope

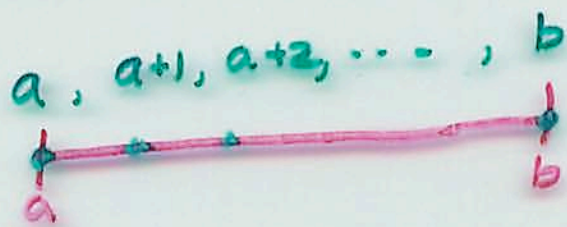
Q Given a polytope P with vertices at lattice points, how many lattice points are contained in P ?

In dimension one,
a polytope is....



... a line segment.

The number of lattice points in



is

$$b - a + 1$$

or

$$\text{length}(P) + 1.$$

In dimension two,

$$\#(P \cap \mathbb{Z}^2) = \text{Area}(\Delta) + \frac{1}{2} \text{Perim}(\Delta) + 1$$

↖ Pick, 1899

Ex



$$4 + \frac{1}{2}(4 + 2 + 2) + 1$$

$$= \boxed{9}$$

Note:

$$\#(k\Delta \cap \mathbb{Z}^2) = a_2 k^2 + a_1 k + a_0$$

\hookrightarrow Area(Δ) \hookrightarrow $\frac{1}{2}$ Perim(Δ) \hookrightarrow 1

Ehrhart (1950's)

$$\dim(\Delta) = n$$

$$\#(\Delta \cap \mathbb{Z}^n) = a_n k^n + a_{n-1} k^{n-1} + \dots + a_0$$

$$a_i \in \mathbb{Q}$$

$$a_n = \text{Vol}_n(\Delta)$$

$$a_{n-1} = \frac{1}{2} \sum_{\substack{F \subset \Delta \\ F \text{ facet}}} \text{Vol}_{n-1}(F)$$

$$a_0 = 1$$

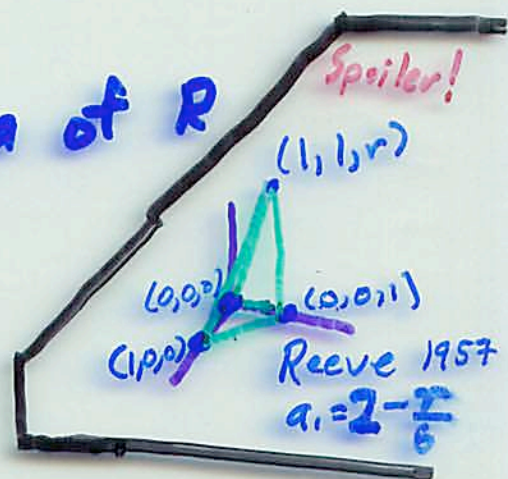
Three Dimensions

According to Ehrhart,

$R = \triangle$ any 3-dim polyhedron with vertices at lattice points.

$$\# \text{ lattice pts. in } kR = a_3 k^3 + a_2 k^2 + a_1 k + a_0$$

with

$$a_3 = \text{Vol}(R)$$
$$a_2 \sim \frac{1}{2} \text{Surface area of } R$$
$$a_1 = ???$$
$$a_0 = 1$$


Mordell's Tetrahedron

1951

If a, b, c pairwise relatively prime, then for $R(a, b, c)$ have

$$a_1 = \frac{1}{12} \left(\frac{ab}{c} + \frac{ac}{b} + \frac{bc}{a} + \frac{1}{abc} \right)$$

$$-S(bc, a) - S(ac, b) - S(ab, c)$$

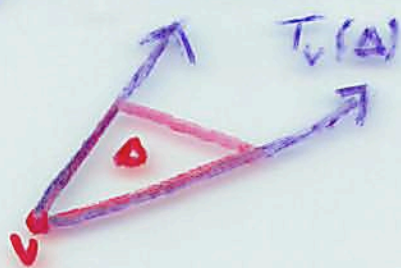
where S is the CLASSICAL DEDEKIND SUM

...

FORM OF THE FORMULA

Theorem (McMullen, 1983)

The number of lattice points in a polytope can be expressed in terms of the volumes of its faces with coefficients depending only on the tangent cones at the faces.



More precisely, there exists a function

$$\mu: \left\{ \begin{array}{l} \text{rational } d\text{-dim} \\ \text{polyhedral cones} \\ \text{in } \mathbb{Z}^d \end{array} \right\} \rightarrow \mathbb{Q}$$

such that for any integral $\Delta \subset \mathbb{Z}^d$

$$\#(\Delta \cap \mathbb{Z}^d) = \sum_{\substack{F \text{ face} \\ \text{of } \Delta}} \mu(T_F(\Delta)) \cdot \text{Vol}(F)$$

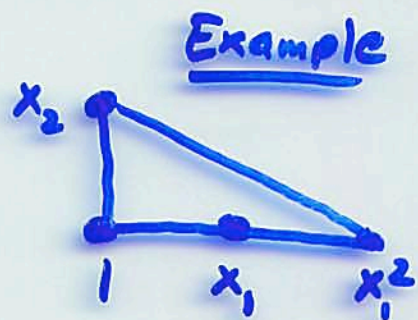
Proof non-constructive.

(Note: Pick does not quite have this form.)

GENERATING FUNCTIONS

Let $\Delta \subset \mathbb{Z}^d$ be an integral polytope
Form

$$\sigma_{\Delta}(x_1, \dots, x_d) = \sum_{m \in \Delta \cap \mathbb{Z}^d} x^m$$

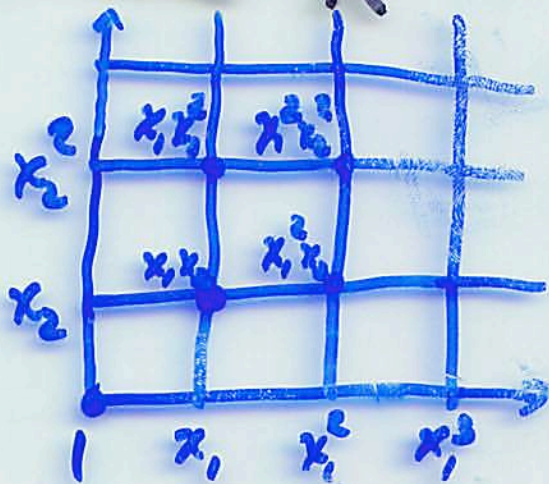


$$\sigma_{\Delta} = 1 + x_1 + x_1^2 + x_2$$

(x^m shorthand for $x_1^{m_1} x_2^{m_2} \dots x_d^{m_d}$.)

Can do the same for a cone K

$$\sigma_K(x_1, \dots, x_d) = \sum_{m \in K \cap \mathbb{Z}^d} x^m$$



$$\begin{aligned} \sigma_K &= \sum_{i,j} x_1^i x_2^j \\ &= \frac{1}{1-x_1} \frac{1}{1-x_2} \end{aligned}$$

AN IMPORTANT CURIOSITY

Sum over a whole line L



$$\sigma_L = \frac{x^{-1}}{1-x^{-1}} + \frac{1}{1-x}$$

$$= 0$$

Similarly, summing over the lattice points in any half-space gives 0 .



$$\sigma_H = 0.$$

Theorem (Brion, 1988)

Δ integral convex polytope

$$\sigma_{\Delta} = \sum_{v \text{ vertex of } \Delta} \sigma_{k_v}.$$

→ original proof used

K-theory of toric varieties.

Example



has two vertex cones



$$\frac{1}{1-x}$$

+

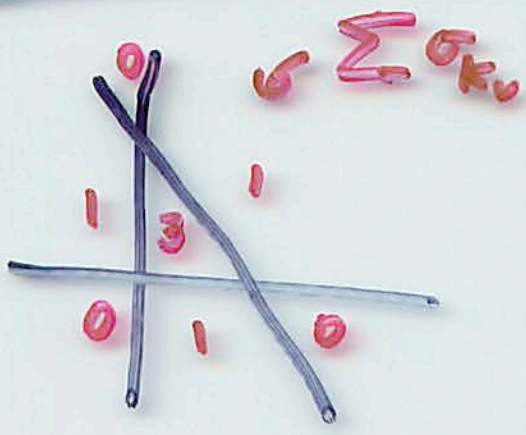
$$\frac{x^R}{1-x^{-1}}$$

$$= \frac{1-x^{R+1}}{1-x}$$

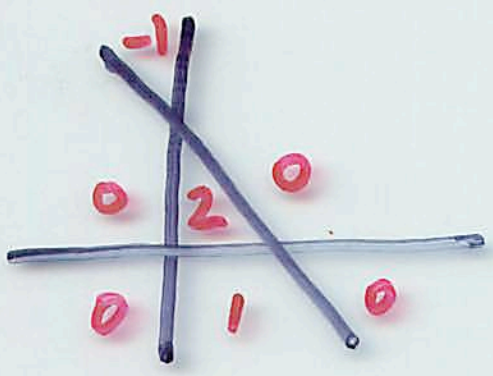
$$= 1 + x + \dots + x^R$$



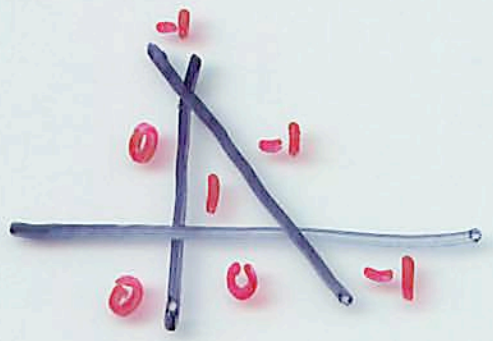
"Proof" of Brion



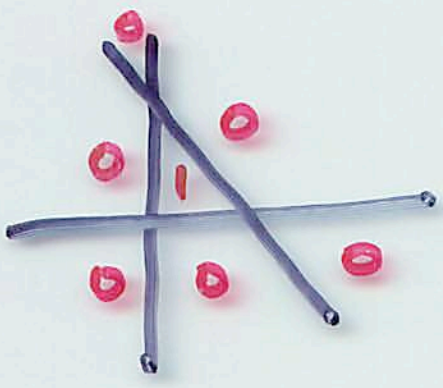
↪ subtract |||||



↪ subtract



↪ add |||||



↪ Δ

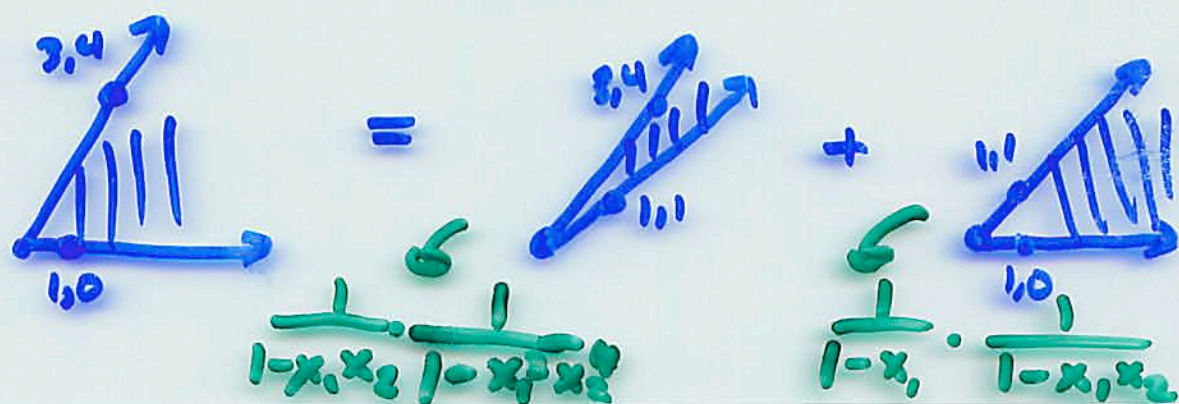
Theorem (Barvinok, 1993)

Brion's Theorem can be used to give a polynomial-time algorithm to compute the number of lattice points in a polytope of fixed dimension.

Idea: \rightarrow Brion reduces problem to computation of σ_{K_v} ($K_v =$ tangent cone at vertices)

\rightarrow σ_{K_v} has an expression as a **short rational function**.

ρ
SUBDIVIDE!



TWO KINDS OF ADDITIVITY

M-ADDITIVITY (NAIVE)



$$\tau = \tau_1 \cup \tau_2$$

$$\sum_{\tau} x^m = \sum_{\tau_1} x^m + \sum_{\tau_2} x^m - \sum_{\rho} x^m$$

N-ADDITIVITY

$$N = \text{Hom}(N, \mathbb{Z})$$

DUAL
CONE:



SINCE HALF-PLANE SUMS VANISH,

$$\sum_{\sigma} x^m = \sum_{\sigma_1} x^m + \sum_{\sigma_2} x^m$$

ON THE NOSE (NO INCLUSION-EXCLUSION!)

Toric Varieties, briefly

$K =$ cone w/vertex at 0



Observation: $K \cap \mathbb{Z}^d$ is a semigroup.

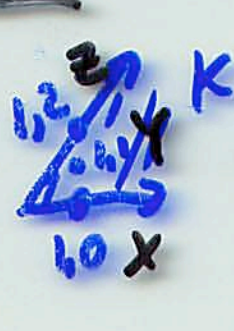
The semigroup algebra $\mathbb{C}[K \cap \mathbb{Z}^d]$ is a finitely generated algebra

\rightsquigarrow affine algebraic variety
 $X_K = \text{Spec}(\mathbb{C}[K \cap \mathbb{Z}^d])$

Ex

 $\rightsquigarrow \mathbb{C}[K \cap \mathbb{Z}^2] = \mathbb{C}[x, y] \rightsquigarrow X_K = \mathbb{C}^2$

Ex

 $\rightsquigarrow \mathbb{C}[K \cap \mathbb{Z}^3] = \frac{\mathbb{C}[x, y, z]}{y^2 - xz} \rightsquigarrow X_K = \text{cylinder}$
 $X_K = \{(x, y, z) \in \mathbb{C}^3 \mid y^2 = xz\}$

For any cone K we have an
affine algebraic variety X_K .

If Δ is an integral polytope,
the tangent cones $\{K_v \mid v \text{ vertex of } \Delta\}$
yield affine varieties $\{X_{K_v} \mid v \text{ vertex of } \Delta\}$.

These glue together to form a
projective variety $X_\Delta = \bigcup X_{K_v}$

X_Δ is called the toric variety
associated to the polytope Δ .

Counting Lattice Points Using Toric Varieties

Turns out:

$$\#(P \cap \mathbb{Z}^d) \longleftrightarrow \text{Td } X_P \in H_*(X_P, \mathbb{Q})$$

"Todd class"

Reason: Riemann-Roch

Details: Can introduce a line bundle \mathcal{E}_P on X_P such that

$$\left\{ \begin{array}{l} \text{basis of sections} \\ \text{of } \mathcal{E}_P \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{lattice points} \\ \text{inside } P \end{array} \right\}$$

$$\#(P \cap \mathbb{Z}^d) = \dim H^0(X_P, \mathcal{E}_P) = \sum (-1)^i \dim H^i(X_P, \mathcal{E}_P) = (\text{ch } \mathcal{E}_P, \text{Td } X_P)$$

\uparrow higher cohomology vanishes \uparrow R.R.

Consequence:

$$\text{Td } X_P = \sum r_F [V(F)] \implies \#(P \cap \mathbb{Z}^d) = \sum r_F \text{Vol}(F)$$

MAIN DEFINITION

Let $\sigma = \langle p_1, \dots, p_n \rangle$ be an n -dim. ^(simplicial) cone in N .

DEF

$$S_\sigma(x_1, \dots, x_n) = \sum_{m \in \sigma} e^{-\langle m, p_1 \rangle x_1 + \dots + \langle m, p_n \rangle x_n}$$

(a minor variant of $\sum_{\sigma} e^{-m}$)

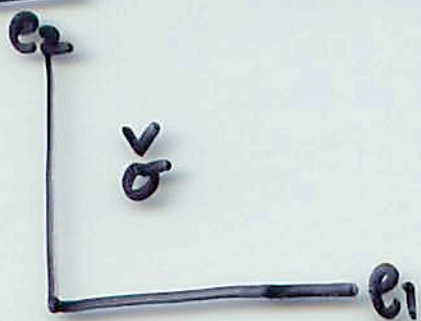
It's cousin

$$t_\sigma(x_1, \dots, x_n) = x_1 x_2 \dots x_n S_\sigma(x_1, \dots, x_n)$$

is actually a **power series** in

x_1, \dots, x_n

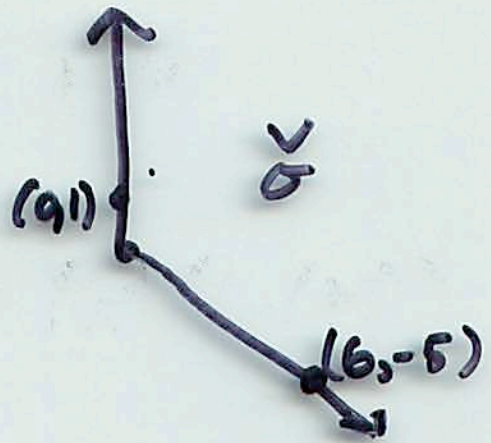
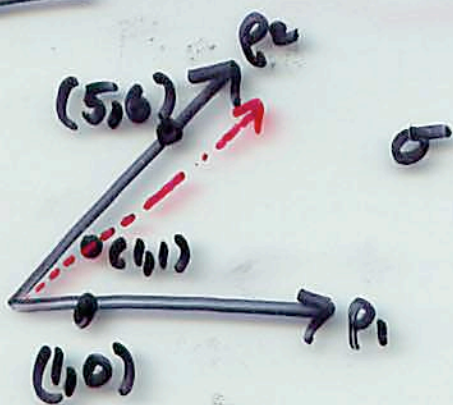
EX 1 A NONSINGULAR CONE



$$S_\sigma = \frac{1}{1-e^{-x_1}} \frac{1}{1-e^{-x_2}}$$

$$\begin{aligned} t_\sigma(x_1, x_2) &= \frac{x_1}{1-e^{-x_1}} \frac{x_2}{1-e^{-x_2}} \\ &= 1 + \frac{x_1^2}{2} + \frac{x_2^2}{2} + \frac{1}{6} x_1 x_2 + \frac{1}{12} (x_1^3 + x_2^3) + \dots \end{aligned}$$

EX 2



$$S_{\sigma}(\chi_1, \chi_2) = \frac{1}{1-e^{y-x}} \frac{1}{1-e^{-6y}} + \frac{1}{1-e^{-6x}} \frac{1}{1-e^{x-y}}$$

$$= \frac{1}{1-e^{-6x}} \frac{1}{1-e^{-6y}} \left[1 + e^{-(5x+y)} + e^{-(4x+2y)} + e^{-(3x+3y)} + e^{-(2x+4y)} + e^{-(x+5y)} \right]$$

$$t_{\sigma}(x, y) =$$

$$1 + \frac{x}{2} + \frac{y}{2} + \frac{1}{12}(x^2 + y^2) + \left(\frac{-49}{6}\right)xy + \dots$$

↑
Dedekind Sum.

PROPERTIES OF S_σ

- For nonsingular cones σ

$$S_\sigma(x_1, \dots, x_n) = \frac{1}{1-e^{-x_1}} \cdots \frac{1}{1-e^{-x_n}}$$

- S_σ is additive with respect to subdivisions
(N-ADDITIVE)

$\sigma = \cup \sigma_i$ mod smaller dim cones

$$\Rightarrow S_\sigma = \sum S_{\sigma_i}$$

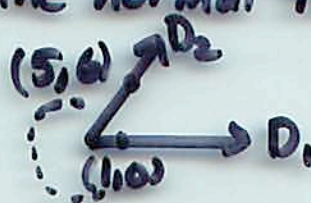
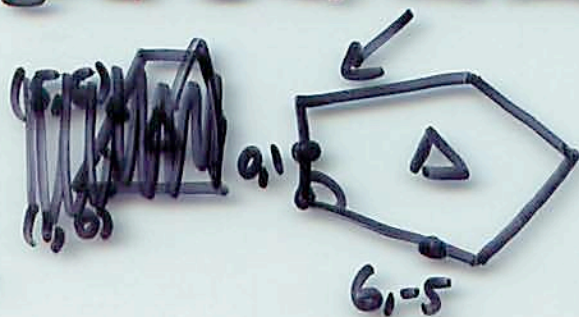
with appropriate coordinate changes.

• [P, '96]

The t_σ determine a local formula for the Todd class of any simplicial toric variety

$$Td X_\Delta = \sum_{F_1, \dots, F_r \text{ facets}} t_{F_1, \dots, F_r} [V(F_1)]^{a_1} \cdots [V(F_r)]^{a_r}$$

Ex If Δ has this cone, so the normal fan is



↑
polynomial in some invariant divisors

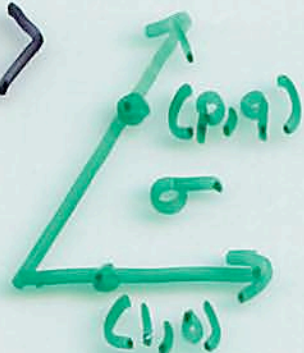
then $1 + \frac{D_1}{2} + \frac{D_2}{2} + \frac{1}{12}(D_1^2 + D_2^2) - \frac{49}{6} D_1 D_2 + \dots$
appear in $Td X_\Delta$.

... and Todd class formulas:

Thm If σ is a two-dimensional cone isomorphic to $\langle (1,0), (p,q) \rangle$ in \mathbb{Z}^2 , then

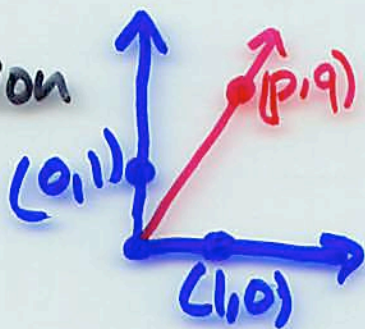
$$t_{\sigma}(x,y) = 1 + \frac{1}{2}(x+y) + \frac{1}{12}(x^2+y^2)$$

$$+ q \left(\underline{s(p,q)} + \frac{1}{4} \right) xy + \dots \text{higher degree terms}$$



REMARKS:

• The subdivision



gives Dedekind reciprocity

• more general subdivisions \rightarrow new reciprocity laws

• higher degree terms \rightarrow "higher dimensional Dedekind sums"

• • •

Lattice Point Consequences

- 1) For $d \geq 3$, have a_1 expressed in terms of classical Dedekind sums.
(P. '93) For any d , $a_{d-2}(\Delta) \rightsquigarrow$ Dedekind sums.
- 2) For higher d , all Ehrhart coefficients expressed in terms of generalized Dedekind sums.
Brion-Vergne '97
Diaz-Robins '98
P. '95 \rightarrow reciprocity relations
- 3) Poly-time computability of formulas of Brion-Vergne, Diaz-Robins, Cappell-Shaneson, and of Zagier's higher-dim. Dedekind sums.
(Barvinok-P. '98)

UNEXPECTED:

- 4) For $d=2$, have $a_0=1$ expressed in terms of Dedekind sums



new reciprocity relations for Dedekind Sums !!

5) Constructive McMullen-type formulas for any lattice with inner product.

(P.-Thomas '03)

(Turns out ...)



→ Extended to Euler-Maclaurin formulas
Berline-Vergne '06

$$\mu \left(\text{triangle with hatching} \right) = ?$$

Recipe:

- First dualise.

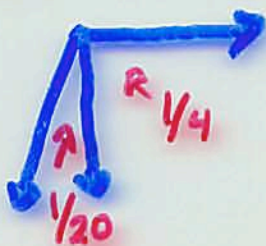


- Subdivide into unimodular cones.



- Use formula

$$\frac{1}{4} - \frac{1}{12} \frac{V_1 \cdot V_2}{V_1 \cdot V_1} - \frac{1}{12} \frac{V_1 \cdot V_2}{V_2 \cdot V_2}$$



- Add.

$$\frac{1}{20} + \frac{1}{4} = \frac{3}{10}$$

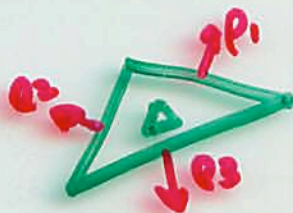
III BRION'S TODD OPERATOR

Again suppose $\Delta \subset M$ is a lattice polytope:

$$\Delta = \{m \in M \mid \langle p_i, m \rangle \geq b_i\}$$

$i=1, \dots, k$

primitive normals to facets of Δ .



Now DEFORM Δ as follows:

$$\Delta(h) = \{m \in M \mid \langle p_i, m \rangle \geq b_i - h_i\}$$

$i=1, \dots, k$

THM (BRION-VERGNE) Δ simplicial

For any polynomial function ϕ on M ,

$$\sum_{m \in \Delta \cap M} \phi(m) = t_{\Delta} \left(\frac{\partial \phi}{\partial h_1}, \dots, \frac{\partial \phi}{\partial h_k} \right) \int_{\Delta(h)} \phi$$

power series whose restriction to any face of Δ corresponds to t_{σ} .

$h=0$.

In particular, $\phi \equiv 1$ counts lattice pts:

$$\#(\Delta \cap M) = t_{\Delta} \left(\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right) \text{Vol}(\Delta(h))$$

heo.

The case when Δ is non-singular
is due to Khovanskii.

$$f(t) \sim \frac{c}{t} + c_0 + c_1 t + c_2 t^2 + \dots$$

Then: (1) φ extends to an analytic function on \mathbb{C} (with a simple pole at $s=1$)
 (2) $\varphi(-n) = (-1)^n n! c_n$

Key lemma of Zagier:

LEMMA Let

$$\varphi(s) = \frac{a_1}{\lambda_1^s} + \frac{a_2}{\lambda_2^s} + \dots$$

$$\lambda_i \in \mathbb{R}^+ \quad \lambda_n \rightarrow \infty \text{ as } n \rightarrow \infty$$

Form the corresponding Dirichlet series $\sum_{n \in \mathbb{Z}^+} a_n n^{-s}$ at negative integers. (Joint work with S. Garoutalidis)

$$f(t) = \sum_{n \in \mathbb{Z}^+} a_n e^{-\lambda_n t} = \sum_{n \in \mathbb{Z}^+} a_n e^{-\lambda_n t} + \dots$$

Supposing that $\lambda_n \rightarrow 0^+$
 $K = \mathbb{Q}[\sqrt{d}]$
 $\mathcal{O}_K = \text{ring of integers}$

$V = \text{group of totally positive units}$

Relation with toric varieties:

\mathcal{O}_k/V has as a fundamental domain a two-dimensional cone!

So to compute zeta function values, need a way to sum a function over a cone.

Fortunately we have the following version of Brion's formula:

THM IF $\sigma = \langle p_1, \dots, p_n \rangle$ is an n -dim simplicial cone in $N = \text{Hom}(M, \mathbb{Z})$ and $\phi: M \rightarrow \mathbb{C}$ is a suitably rapidly decreasing analytic function, then

$$\sum_{m \in \check{\sigma} \cap M} \phi(m) = t_{\sigma} \left(\frac{z}{2\pi i}, \dots, \frac{z}{2\pi i} \right) \int_{\check{\sigma}(h)} \phi \Big|_{h=0}$$

Here $\check{\sigma}(h) = \{ m \in M \mid \langle p_i, m \rangle \geq -h_i \}$.

Relation with toric varieties:

\mathcal{O}_k/V has as a fundamental domain a two-dimensional cone!

So to compute zeta function values, need a way to sum a function over a cone.

Fortunately we have the following version of Brion's formula:

THM If $\sigma = \langle p_1, \dots, p_n \rangle$ is an n -dim simplicial cone in $N = \text{Hom}(M, \mathbb{Z})$ and $\phi: M \rightarrow \mathbb{C}$ is a suitably rapidly decreasing analytic function, then

$$\sum_{m \in \check{\sigma} \cap M} \phi(m) = t_{\sigma} \left(\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right) \int_{\check{\sigma}(h)} \phi \Big|_{h=0}$$

Here $\check{\sigma}(h) = \{ m \in M \mid \langle p_i, m \rangle \geq -h_i \}$.

Key lemma of Zagier:

LEMMA

Let

$$\varphi(s) = \frac{a_1}{\lambda_1^s} + \frac{a_2}{\lambda_2^s} + \dots$$

$$\lambda_i \in \mathbb{R}^+ \quad \lambda_n \rightarrow \infty \text{ as } n \rightarrow \infty$$

Form the corresponding
exponential series

$$f(t) = a_1 e^{-\lambda_1 t} + a_2 e^{-\lambda_2 t} + \dots$$

Supposing that as $t \rightarrow 0^+$

$$f(t) \sim \frac{C}{t} + C_0 + C_1 t + C_2 t^2 + \dots$$

- Then:
- (1) φ extends to an analytic function on \mathbb{C} (with a simple pole at $s=1$)
 - (2) $\varphi(-n) = (-1)^n n! C_n$

We thus recover and reexamine
some classical formulas with the modern
lattice point techniques.

COEFFS OF t_σ
ARE CHARACTERIZED
BY RECIPROCITY LAW



SHINTANI-TYPE
FORMULAS FOR
 $\zeta(-n)$

COEFFS OF t_σ
GIVEN BY CYCLOTOMIC
SUMS



ZAGIER-TYPE
FORMULAS FOR
 $\zeta(-n)$

For REAL QUADRATIC number fields,
 cones and quadratic forms are parametrized
 by a sequence

$$[b_0, b_1, \dots, b_{n-1}]$$

of integers with $b_i > 1$, and not all $b_i = 2$.

Set $b_k = b_{k \bmod n}$ for any $k \in \mathbb{Z}$.

Define $w_k = b_k - \frac{1}{b_{k+1} - \frac{1}{b_{k+2} - \dots}}$

w_0 satisfies quadratic equation $Aw^2 + Bw + C = 0$
 w/ discriminant $D = B^2 - 4AC$.

Set $A_0 = 1$ and

$$A_{k-1} = A_k w_k$$

It follows that

$$A_{k-1} + A_{k+1} = b_k A_k$$

Let $M = \mathbb{Z} \oplus \mathbb{Z}w_0 \subset \mathbb{Q}(\sqrt{D})$ 2-dim lattice

and $Q: M_{\mathbb{R}} \rightarrow \mathbb{R}$

by $Q(xw_0 + y) = (x^2 - Bxy + Ay^2)$

Example 1.2. We will express $\zeta_{Q,\tau}(-1)$ and $\zeta_{Q,\tau}(-2)$ using Theorem 1. For $i = 0, \dots, r-1$, we define L_i, M_i, N_i to be the coefficients of the quadratic form Q on the i^{th} nonsingular cone $\langle A_{i-1}, A_i \rangle$. Explicitly,

$$Q(xA_{i-1} + yA_i) = L_i x^2 + M_i xy + N_i y^2.$$

We define $\tilde{L}_i, \tilde{M}_i, \tilde{N}_i$ similarly, as the coefficients of Q on the cone $\langle A_{i-1}, A_{i+1} \rangle$, generated by rays two apart:

$$Q(xA_{i-1} + yA_{i+1}) = \tilde{L}_i x^2 + \tilde{M}_i xy + \tilde{N}_i y^2.$$

Note that for sequences b of fixed length r , $L_i, M_i, N_i, \tilde{L}_i, \tilde{M}_i, \tilde{N}_i$ are polynomials in b_i with integer coefficients, as follows from Lemma 3.2. Theorem 1 then gives us:

$$\zeta_{Q,\tau}(-1) = \frac{1}{720} \sum_{i=0}^{r-1} (5M_i + b_i(-2\tilde{L}_i + \tilde{M}_i - 2\tilde{N}_i)).$$

We may compare this with a formula of Zagier [Za4, p.149], which involves only the L_i, M_i, N_i and not the $\tilde{L}_i, \tilde{M}_i, \tilde{N}_i$, though it does involve higher powers of the b_i :

$$\zeta_{Q,\tau}(-1) = \frac{1}{720} \sum_{i=0}^{r-1} (-2N_i b_i^3 + 3M_i b_i^2 - 6L_i b_i + 5M_i).$$

The patient reader may use Lemma 3.2 to show that the above two expressions for $\zeta_{Q,\tau}$ are the same polynomial in the b_i with rational coefficients. As for $\zeta_{Q,\tau}(-2)$, Theorem 1 yields the following expression:

$$\zeta_{Q,\tau}(-2) = \frac{1}{15120} \sum_{i=0}^{r-1} (-21M_i(L_i + N_i) + 2b_i(6\tilde{L}_i^2 - 3\tilde{L}_i\tilde{M}_i + 2\tilde{L}_i\tilde{N}_i + \tilde{M}_i^2 - 3\tilde{M}_i\tilde{N}_i + 6\tilde{N}_i^2)).$$

toric geometry which are necessary for the proof and which lead to a conceptual understanding of the present formula.

Let λ_m be defined by the power series:

$$(4) \quad \frac{h}{1 - e^{-h}} = \sum_{m=0}^{\infty} \lambda_m h^m$$

thus we have: $\lambda_m = (-1)^m B_m/m!$ where B_m is the m^{th} Bernoulli number. (See also Definition 1.6 below.) Note that if $m > 1$ is odd, then $\lambda_m = 0$. For $n \geq 0$, define homogeneous polynomials $P_n(X, Y), R_n(X, Y)$ of degree $2n$ by:

$$P_n(X, Y) = \sum_{i+j=2n, i, j \geq 0} (-1)^{i+1} \lambda_{i+1} \lambda_{j+1} X^i Y^j,$$

$$R_n(X, Y) = \frac{X^{2n+1} + Y^{2n+1}}{X + Y} = X^{2n} - X^{2n-1}Y + \cdots + Y^{2n}.$$

We then have:

Theorem 1. *For a sequence b , as above, with associated (M, Q, τ) , the values $\zeta_{Q, \tau}(-n)$ for $n \geq 0$ are given explicitly as follows:*

$$(5) \quad \zeta_{Q, \tau}(-n) = P_n \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right) \sum_{i=0}^{r-1} (Q(xA_{i-1} + yA_i)^n)$$

$$(6) \quad + \lambda_{2n+2} R_n \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right) \sum_{i=0}^{r-1} b_i (Q(xA_{i-1} + yA_{i+1})^n).$$

If the length r of the sequence b is fixed, the above expresses $\zeta_{Q, \tau}(-n)$ as a polynomial in the b_i with rational coefficients, symmetric under cyclic permutation of the b_i .

In particular, we obtain the formula due to Meyer, see also [Za1, Equation 3.3]:

$$(7) \quad \zeta_{Q, \tau}(0) = \frac{1}{12} \sum_{i=0}^{r-1} (b_i - 3).$$